

ANNEX IA

Notification document for transboundary movements/shipments of waste

EU

1. Exporter - notifier Registration No: Name: Address: Contact person: Tel.: Fax: E-mail:	3. Notification: Notification concerning A. (i) Individual shipment: <input type="checkbox"/> (ii) Multiple shipments: <input type="checkbox"/> B. (i) Disposal ⁽¹⁾ : <input type="checkbox"/> (ii) Recovery: <input type="checkbox"/> C. Pre-consented recovery facility ^(2 3) Yes <input type="checkbox"/> No <input type="checkbox"/>
2. Importer - consignee Registration No: Name: Address: Contact person: Tel.: Fax: E-mail:	4. Total intended number of shipments: 5. Total intended quantity (kg/litre) ⁽⁴⁾: 6. Intended period of time for shipment(s) ⁽⁴⁾: First departure: Last departure: 7. Packaging type(s) ⁽⁵⁾: Special handling requirements ⁽⁶⁾: Yes <input type="checkbox"/> No <input type="checkbox"/>
8. Intended carrier(s) Registration No: Name ⁽⁷⁾ : Address: Contact person: Tel.: Fax: E-mail: Means of transport ⁽⁸⁾ :	11. Disposal/recovery operation(s) ⁽²⁾ D code/R code ⁽⁵⁾ : Technology employed ⁽⁶⁾ : Reason for export ⁽⁶⁾ :
9. Waste generator(s)/producer(s) ^(1 7 8) Registration No: Name: Address: Contact person: Tel.: Fax: E-mail: Site and process of generation ⁽⁹⁾ :	12. Designation and composition of the waste ⁽⁶⁾: 13. Physical characteristics ⁽⁶⁾:
10. Disposal facility ⁽²⁾: <input type="checkbox"/> or recovery facility ⁽²⁾: <input type="checkbox"/> Registration No: Name: Address: Contact person: Tel.: Fax: E-mail: Actual site of disposal/recovery:	14. Waste identification (fill in relevant codes) (i) Basel Annex VIII (or IX if applicable): (ii) OECD code (if different from (i)): (iii) EC list of wastes: (iv) National code in country of export: (v) National code in country of import: (vi) Other (specify): (vii) Y-code: (viii) H-code ⁽⁶⁾ : (ix) UN class ⁽⁶⁾ : (x) UN number: (xi) UN shipping name: (xii) Customs code(s) (HS):

List of abbreviations and codes used in the notification document**DISPOSAL OPERATIONS (block 11)**

- D 1 Deposit into or onto land (e.g. landfill, etc.)
- D2 Land treatment (e.g. biodegradation of liquid or sludgy discards in soils, etc.)
- D3 Deep injection (e.g. injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
- D4 Surface impoundment (e.g. placement of liquid or sludge discards into pits, ponds or lagoons, etc.)
- D5 Specially engineered landfill, (e.g. placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)
- D6 Release into a water body except seas/oceans
- D7 Release into seas/oceans including sea-bed insertion
- D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list
- D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g. evaporation, drying, calcination, etc.)
- D10 Incineration on land
- D11 Incineration at sea
- D12 Permanent storage (e.g. emplacement of containers in a mine, etc.)
- D13 Blending or mixing prior to submission to any of the operations in this list
- D14 Repackaging prior to submission to any of the operations in this list
- D15 Storage pending any of the operations numbered in this list

RECOVERY OPERATIONS (block 11)

- R1 Use as a fuel (other than in direct incineration) or other means to generate energy/use principally as a fuel or other means to generate energy
- R2 Solvent reclamation/regeneration
- R3 Recycling/reclamation of organic substances which are not used as solvents
- R4 Recycling/reclamation of metals and metal compounds
- R5 Recycling/reclamation of other inorganic materials
- R6 Regeneration of acids or bases
- R7 Recovery of components used for pollution abatement
- R8 Recovery of components from catalysts
- R9 Used oil re-refining or other reuses of previously used oil
- R10 Land treatment resulting in benefit to agriculture or ecological improvement
- R11 Uses of residual materials obtained from any of the operations numbered R1 to R10
- R12 Exchange of wastes for submission to any of the operations numbered R1 to R11
- R13 Accumulation of material intended for any operation in this list.

PACKAGING TYPES (block 7)	H CODE AND UN CLASS (block 14)		
1. Drum	UN Class	H code	Characteristics
2. Wooden barrel	1	H1	Explosive
3. Jerrican	3	H3	Flammable liquids
4. Box	4.1	H4.1	Flammable solids
5. Bag	4.2	H4.2	Substances or wastes liable to spontaneous combustion
6. Composite packaging	4.3	H4.3	Substances or wastes which, in contact with water, emit flammable gases
7. Pressure receptacle	5.1	H5.1	Oxidising
8. Bulk	5.2	H5.2	Organic peroxides
9. Other (specify)	6.1	H6.1	Poisonous (acute)
MEANS OF TRANSPORT (block 8)	6.2	H6.2	Infectious substances
R = Road	8	H8	Corrosives
T = Train/rail	9	H10	Liberation of toxic gases in contact with air or water
S = Sea	9	H11	Toxic (delayed or chronic)
A = Air	9	H12	Ecotoxic
W = Inland waterways	9	H13	Capable, by any means, after disposal of yielding another material, e.g. leachate, which possesses any of the characteristics listed above
PHYSICAL CHARACTERISTICS (block 13)			
1. Powdery/powder			
2. Solid			
3. Viscous/paste			
4. Sludgy			
5. Liquid			
6. Gaseous			
7. Other (specify)			

Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VIII and IX codes, OECD codes and Y codes, can be found in a Guidance/Instruction Manual available from the OECD and the Secretariat of the Basel Convention.